

3. Algebraic Number Fields

Remark. All the rings are supposed to be commutative with identity, ring homomorphisms send 1 to 1.

Definition 3.1 (cf. 2.3). Suppose B/A is an extension of the rings (i.e. $A \subset B$). B is called integral over A iff all the elements of B are integral over A .

Definition 3.2 (cf. 2.4). B is called finite over A iff B is finitely generated as an A -module.

Theorem 3.3. (cf. 2.5). If B/A is finite then it is integral.

Proof. Since B/A is finite there exists a surjective homomorphism $\phi : A^n \rightarrow B$. Suppose $b \in B$. Multiplication with b defines an A -linear endomorphism of the A -module B which could be lifted to an endomorphism \tilde{b} of A^n (choose a basis $\{x_i\}$ of A^n and define $\tilde{b}(x_i)$ to be equal to some ϕ -preimage of $b\phi(x_i)$). Let $P(T)$ be the characteristic polynomial of \tilde{b} , this is a monic polynomial with coefficients in A . By the Cayley - Hamilton theorem $P(\tilde{b}) = 0$. Therefore $\forall x \in A^n$ $0 = P(\tilde{b}(x)) = \phi(P(\tilde{b}(x))) = P(\phi(\tilde{b}(x))) = P(b\phi(x))$. Since ϕ is surjective $\exists x \in A^n$ such that $\phi(x) = 1$ hence $P(b) = 0$ ■

Theorem 3.4. (cf. 2.7). If $A \subset B \subset C$. If B/A and C/B are both finite, same is C/A .

Proof. Close to that of the Theorem 2.7 (use sets of generators instead of bases) ■

Theorem 3.5. (cf. 2.8). If B is integral over A and finitely generated as an A -algebra then B/A is finite.

Proof. Suppose $B = A[\beta]$ where β is integral over A . By the definition this means that $\exists P(T) \in A[T]$ monic such that $P(\beta) = 0$. If $\deg P = d$ then β^d is a linear combination of smaller powers of β . Clearly the same is true for greater powers of β hence the set $\{1, \beta, \dots, \beta^{d-1}\}$ generates B as an A -module. Now one may use induction based on the Theorem 3.4 ■

Definition-Theorem 3.6. Suppose B/A is an extension of the rings. The subset of B consisting of all elements which are integral over A is called the integral closure of A in B . This subset is a subring of B .

Proof. Suppose $\alpha, \beta \in B$ are integral over A . The subring $A[\alpha, \beta] \subset B$ is finite over A by the theorems 3.5 & 3.4 therefore integral over A by the Theorem 3.3. Hence $\alpha - \beta$ and $\alpha\beta$ are integral over A ■

Theorem 3.7. Suppose \mathcal{O} is an integrally closed Noetherian integral domain (we use the abbreviation NICD - "Noetherian integrally closed domain"), k its field of fractions, K/k a finite separable extension. Then

- 1) The integral closure of \mathcal{O} in K (notation \mathcal{O}_K) is finite over \mathcal{O} and contains some basis of the k -vector space K .
- 2) If \mathcal{O} is a principal ideal domain then \mathcal{O}_K is a free \mathcal{O} -module with $n = [K : k]$ generators.
- 3) \mathcal{O}_K is also a NICD.

Lemma. Suppose \mathcal{O} is a Noetherian ring, $N \subset \mathcal{O}^n$ an \mathcal{O} -submodule. Then N is finitely generated. If \mathcal{O} is a principal ideal ring then N is free of rank $\leq n$.

Proof of the Lemma. Use induction. Let \mathcal{O}^{n-1} be the submodule of \mathcal{O}^n generated by the first $n-1$ coordinate vectors, $N_{n-1} \stackrel{\text{def}}{=} N \cap \mathcal{O}^{n-1}$. Let I be the ideal in \mathcal{O} obtained by the projection of N to the last coordinate. Suppose a_1, \dots, a_r generate I . For each $1 \leq i \leq r$ choose $y_i \in N$ such that the last coordinate of y_i equals a_i . Then $N = N_{n-1} + \langle \{y_i\} \rangle$ which proves the first statement of the Lemma. To prove the second statement it suffices to point out that I is generated by one element a_1 hence $N = N_{n-1} \oplus \langle y_1 \rangle$, $\text{rk}(N)$ being equal either to $\text{rk}(N_{n-1})$ (if $a_1 = 0$) or to $\text{rk}(N_{n-1}) + 1$ otherwise ■

Proof of the Theorem. 1) -2). If $x \in K$ then x is algebraic over k hence $\exists P(T) = \sum_{i=0}^d a_i T^i \in k[T]$ such that $P(x) = 0$. Multiplying P with the product of the denominators of all coefficients one may suppose that $\forall i \ a_i \in \mathcal{O}$. Then multiplying the equation $P(x) = 0$ with a_d^{d-1} one gets an integral equation for $a_d x$. Hence any basis of the vector space K over k after multiplying with suitable elements of \mathcal{O} will consist of integral elements of K .

Now consider the trace bilinear form $Tr : K \times K \rightarrow k$ which is nondegenerate by the Theorem 2.53. For any \mathcal{O} -submodule N in K define $N^\vee \stackrel{\text{def}}{=} \{y \in K \text{ such that } \forall x \in N \ Tr(xy) \in \mathcal{O}\}$. Suppose $\{e_i\}$ is a basis of K consisting of integral elements (this one has just been constructed) and let N be the free \mathcal{O} -submodule of \mathcal{O}_K generated by the $\{e_i\}$. Let $\{f_j\}$ be the dual basis to $\{e_i\}$ (i.e. $Tr(e_i f_j) = \delta_i^j$). Then N^\vee coincides with the free \mathcal{O} -submodule of K generated by $\{f_j\}$ (easy homework). Since $N \subset \mathcal{O}_K \ \mathcal{O}_K^\vee \subset N^\vee$. Clearly for any x integral over \mathcal{O} and $\sigma \in \Sigma_{\bar{k}/k} \ \sigma(x)$ is also integral over \mathcal{O} thus $Tr(x)$

is integral over \mathcal{O} . But $Tr(x) \in k$ and \mathcal{O} is integrally closed hence $Tr(\mathcal{O}_K) \subset \mathcal{O}$. In particular, $\mathcal{O}_K \subset \mathcal{O}_K^\vee$. Finally one may conclude that $\mathcal{O}_K \subset N^\vee$ therefore by the Lemma \mathcal{O}_K is finitely generated as an \mathcal{O} -module and is free of rank $\leq n$ if \mathcal{O} is a principal ideal ring. Since \mathcal{O}_K contains the basis of K over k in the latter case the rank equals n .

3) Extending the Lemma one concludes that if $N \subset M$ are \mathcal{O} -modules and M is finitely generated then N also is. Indeed, if $\phi : \mathcal{O}^n \rightarrow M$ is surjective then $N = \phi(\phi^{-1}(N))$, $\phi^{-1}(N)$ being finitely generated by the Lemma. Clearly \mathcal{O}_K is a domain. By 1) \mathcal{O}_K is a finitely generated \mathcal{O} -module hence any ideal $I \subset \mathcal{O}_K$ is also finitely generated as an \mathcal{O} -module. This of course implies I is finitely generated as an \mathcal{O}_K -module hence \mathcal{O}_K is Noetherian. Now suppose that $x \in K$ is integral over \mathcal{O}_K . This means that the ring $\mathcal{O}_K[x]$ is finitely generated as an \mathcal{O}_K -module. Since \mathcal{O}_K itself is a finitely generated \mathcal{O} -module this implies $\mathcal{O}_K[x]$ is a finitely generated \mathcal{O} -module. By the extended Lemma same is $\mathcal{O}[x]$, hence x is integral over \mathcal{O} thus by definition $x \in \mathcal{O}_K$, so \mathcal{O}_K is integrally closed ■

The next two definitions are valid for an arbitrary integral domain \mathcal{O} with the field of fractions k .

Definition 3.8. Suppose I, J are \mathcal{O} -submodules in k . Then $I^{-1} \stackrel{\text{def}}{=} \{x \in k \text{ such that } xI \subset \mathcal{O}\}$, $\mathcal{O}(I) \stackrel{\text{def}}{=} \{x \in k \text{ such that } xI \subset I\}$, $I + J \stackrel{\text{def}}{=} \{x + y, x \in I, y \in J\}$, $IJ \stackrel{\text{def}}{=} \{\sum x_i y_i, \text{ a finite sum, } x_i \in I, y_i \in J\}$.

Definition-Theorem 3.9. Suppose $\mathfrak{p} \subset \mathcal{O}$ is a prime ideal. Consider the map $I \mapsto I \cap \mathcal{O}$: $\{\text{ideals of } \mathcal{O}_{\mathfrak{p}}\} \rightarrow \{\text{ideals of } \mathcal{O}\}$. If I is prime then $I \cap \mathcal{O}$ is prime. If $I \neq \{0\}$ (resp. nontrivial) then $I \cap \mathcal{O} \neq \{0\}$ (resp. nontrivial). For any \mathcal{O} -submodule $J \subset k$ let $\phi_{\mathfrak{p}}(J)$ (or $J_{\mathfrak{p}}$) $\stackrel{\text{def}}{=} J\mathcal{O}_{\mathfrak{p}}$. Then $(I \cap \mathcal{O})_{\mathfrak{p}} = I$.

Proof. Any $z \in \mathcal{O}_{\mathfrak{p}}$ is of the form $z = \frac{x}{y}$, $y \notin \mathfrak{p}$ whence the Theorem ■

In what follows \mathcal{O} (or \mathcal{O}_k) will always be a NICD.

Theorem 3.10. Suppose $\mathfrak{p} \subset \mathcal{O}$ is a prime ideal. Then the local ring $\mathcal{O}_{\mathfrak{p}}$ is a NICD.

Proof. $\mathcal{O}_{\mathfrak{p}} \subset k$ hence it has no zero divisors. Also it contains 1 by definition. Suppose $I \subset \mathcal{O}_{\mathfrak{p}}$ is an ideal. The ideal $(I \cap \mathcal{O}) \subset \mathcal{O}$ is finitely generated as an \mathcal{O} -module. By the previous theorem the same set of generators generates I as an $\mathcal{O}_{\mathfrak{p}}$ -module, hence $\mathcal{O}_{\mathfrak{p}}$ is

Noetherian. Further, suppose $x \in k$ is integral over $\mathcal{O}_{\mathfrak{p}}$. This means that $x^m + \sum_{i=0}^{m-1} a_i x^i = 0$ for some $\{a_i \in \mathcal{O}_{\mathfrak{p}}\}$. Let a be the product of the denominators of the a_i , then $a \notin \mathfrak{p}$. Clearly ax is integral over \mathcal{O} hence $ax \in \mathcal{O}$. This implies $x \in \mathcal{O}_{\mathfrak{p}}$ therefore $\mathcal{O}_{\mathfrak{p}}$ is integrally closed ■

Definition 3.11. An \mathcal{O} -submodule $I \subset k$ is called a fractional ideal (f.i.) iff $I \neq \{0\}$ and $I^{-1} \neq \{0\}$.

Theorem 3.12. Suppose $I \subset k$ is an \mathcal{O} -submodule. Then I is a f.i. $\Leftrightarrow I$ is nonzero and finitely generated. If this is the case then I^{-1} is a f.i., $\mathcal{O}(I) = \mathcal{O}$. If I, J are f.i.'s then $I + J, IJ, I \cap J$ are also f.i.'s.

Proof. If I is a f.i. then by definition $\exists x \in k$ such that $xI \subset \mathcal{O}$. Then xI is an ideal in \mathcal{O} thus is finitely generated therefore I also is. Conversely, if I is finitely generated then the product of the denominators of the generators is a nonzero element of I^{-1} . By definition any element of I is an element of $(I^{-1})^{-1}$ hence I^{-1} is a f.i. Now suppose $z \in \mathcal{O}(I)$. Choose nonzero elements $x \in I$ and $y \in I^{-1}$. Then $yxz \in yI \subset \mathcal{O}$. Therefore $xy \in (\mathcal{O}(I))^{-1} \Rightarrow (\mathcal{O}(I))^{-1} \neq \{0\}$. The ring $\mathcal{O}[z]$ is an \mathcal{O} -submodule of $\mathcal{O}(I)$ which implies $(\mathcal{O}(I))^{-1} \subset (\mathcal{O}[z])^{-1}$. Therefore the latter module is nonzero, so $\mathcal{O}[z]$ is a f.i. hence finitely generated. This means that z is integral over \mathcal{O} thus $z \in \mathcal{O}$. The rest is clear ■

Definition 3.13. A NICD \mathcal{O} is called a discretely valued ring (d.v.r.) iff there exists exactly one nonzero prime ideal $\mathfrak{p} \subset \mathcal{O}$.

Theorem-Definition 3.14. Any d.v.r. \mathcal{O} is a principal ideal ring. There exists a group isomorphism $v : k^*/\mathcal{O}^* \xrightarrow{\sim} \mathbf{Z}$ such that $\|x\| = s^{-v(x)}$, $s > 1$ defines a discrete nonarchimedean absolute value on k , \mathcal{O} and \mathfrak{p} being, respectively, the valuation ring and the valuation ideal (cf. 1.3). For any f.i. $I \subset k$ $v(I) \stackrel{\text{def}}{=} \inf_{a \in I} v(a)$.

Proof. Step 1. First prove that $\mathfrak{p}^{-1} \neq \mathcal{O}$. Indeed, let I be a maximal element in the set of all ideals in \mathcal{O} enjoying this property (ordered by inclusion). The set just defined is nonempty (it contains the zero ideal) and any linearly ordered subset has an upper bound (a chain of ideals in the Noetherian ring stabilizes) hence some I exists by the Zorn Lemma. We now will prove that I is a prime ideal therefore $I = \mathfrak{p}$ (since $\mathcal{O}^{-1} = \mathcal{O}$ I cannot be trivial). Indeed, suppose that $xy \in I$ while $x \in \mathcal{O} \setminus I$ and $y \in \mathcal{O} \setminus I$. By

the definition of I there exists $z \notin \mathcal{O}$ such that $zI \subset \mathcal{O}$, in particular, $zxy \in \mathcal{O}$. This implies $zx \in ((y) + I)^{-1}$ hence $zx \in \mathcal{O}$ (otherwise I were not a maximal element). The last inclusion means that $z \in ((x) + I)^{-1}$ which again contradicts the assumption.

Step 2. Now prove that $\mathfrak{p}^{-1}\mathfrak{p} = \mathcal{O}$. Indeed, $\mathfrak{p} \subset \mathfrak{p}^{-1}\mathfrak{p} \subset \mathcal{O}$. Since \mathfrak{p} is the unique nonzero prime ideal it is maximal. By the Theorem 3.12 $\mathcal{O}(\mathfrak{p}) = \mathcal{O}$ while by the first step $\mathfrak{p}^{-1} \neq \mathcal{O}$, thus $\mathfrak{p}^{-1}\mathfrak{p} \neq \mathfrak{p}$ whence the statement.

Step 3. \mathfrak{p} is a principal ideal. Indeed, by the second step $\mathfrak{p}^{-1} \subset \mathcal{O}(\bigcap_{i=1}^{\infty} \mathfrak{p}^i)$ hence by the first step $\bigcap_{i=1}^{\infty} \mathfrak{p}^i = (0)$. Therefore there exists π such that $\pi \in \mathfrak{p}$, $\pi \notin \mathfrak{p}^2$. Using step 2 one gets $(\pi)\mathfrak{p}^{-1} \subset \mathcal{O}$ while $(\pi)\mathfrak{p}^{-1} \not\subset \mathfrak{p}$. Since \mathfrak{p} is the only maximal ideal this implies $(\pi)\mathfrak{p}^{-1} = \mathcal{O}$. Multiplying with \mathfrak{p} leads to $(\pi) = \mathfrak{p}$.

Step 4. Since $\bigcap_{i=1}^{\infty} (\pi^i) = (0)$ one may for any $a \in \mathcal{O} \setminus \{0\}$ define $v(a) \stackrel{\text{def}}{=} (\text{maximal } i \text{ such that } \pi^i | a)$. If $v(a) = 0$ then the ideal (a) is not contained in \mathfrak{p} , but \mathfrak{p} is the only maximal ideal thus $a \in \mathcal{O}^*$. Now it is easy to see that the function v extended to k^* with the formula $v(\text{numerator}) - v(\text{denominator})$ enjoys the properties announced in the formulation of the Theorem. For any f.i. I one may also define $v(I) = \inf_{a \in I} v(a)$. Since I is finitely generated $v(I)$ is finite. If $I \subset \mathcal{O}$ then $\pi^{-v(I)}I$ is an ideal containing an invertible element thus trivial. Therefore $I = (\pi^{v(I)})$ which ends the proof ■

Theorem-Definition 3.15. A NICD \mathcal{O} is called a Dedekind domain iff, equivalently,

- 1) Any nonzero prime ideal $\mathfrak{p} \subset \mathcal{O}$ is maximal, or
- 2) For any nonzero prime ideal $\mathfrak{p} \subset \mathcal{O}$ the local ring $\mathcal{O}_{\mathfrak{p}}$ is a d.v.r., or
- 3) For any f.i. $I \subset k$ $I^{-1}I = \mathcal{O}$.

Proof. 1) \Rightarrow 2) By the Theorem 3.7 $\mathcal{O}_{\mathfrak{p}}$ is a NICD. Suppose $I \subset \mathcal{O}_{\mathfrak{p}}$ is a nonzero prime ideal. By definition $\mathfrak{p}\mathcal{O}_{\mathfrak{p}}$ is the only maximal ideal in $\mathcal{O}_{\mathfrak{p}}$ thus $I \subset \mathfrak{p}\mathcal{O}_{\mathfrak{p}}$. Therefore $I \cap \mathcal{O} \subset \mathfrak{p}\mathcal{O}_{\mathfrak{p}} \cap \mathcal{O} = \mathfrak{p}$. By the Theorem 3.9 $I = (I \cap \mathcal{O})\mathcal{O}_{\mathfrak{p}}$, $I \cap \mathcal{O}$ being a nonzero prime ideal in \mathcal{O} . By the assumption 1) $I \cap \mathcal{O} = \mathfrak{p}$ hence $I = \mathfrak{p}\mathcal{O}_{\mathfrak{p}}$ ■

2) \Rightarrow 3) Suppose $I \subset k$ is a f.i. Choose a prime ideal $\mathfrak{p} \subset \mathcal{O}$. By the assumption $\mathcal{O}_{\mathfrak{p}}$ is a d.v.r, k being its field of fractions, hence the function $v_{\mathfrak{p}} : k^* \rightarrow \mathbf{Z}$ is defined. Let $\{a_1, \dots, a_r\}$ be a set of generators of the \mathcal{O} -module I . Then $v_{\mathfrak{p}}(I) = v_{\mathfrak{p}}(a_i)$ for some i , one may suppose that $i = 1$ without loss of generality. Then $\forall i$ $a_1^{-1}a_i \in \mathcal{O}_{\mathfrak{p}}$. Let y be the product of the denominators of all $a_1^{-1}a_i$, then $y \notin \mathfrak{p}$. $\forall i$ $ya_1^{-1}a_i \in \mathcal{O}$ hence $ya_1^{-1} \in I^{-1}$ thus $y \in I^{-1}I$. Therefore $I^{-1}I \not\subset \mathfrak{p}$. Since this holds for an arbitrary \mathfrak{p} , $I^{-1}I = \mathcal{O}$ ■

3) \Rightarrow 1) Suppose $I \subset \mathcal{O}$ is a nonzero prime ideal which is contained in the maximal

ideal \mathfrak{p} . Then $I\mathfrak{p}^{-1} \subset \mathcal{O}$. By the assumption $\mathfrak{p}^{-1}\mathfrak{p} = \mathcal{O}$ thus $(I\mathfrak{p}^{-1})\mathfrak{p} = I$. Since I is a prime ideal either $(I\mathfrak{p}^{-1}) \subset I$ or $\mathfrak{p} \subset I$. Multiplying the first formula with $I^{-1}\mathfrak{p}$ and using the assumption three times one gets the contradiction (namely $\mathcal{O} \subset \mathfrak{p}$) hence the second formula holds ■

Remark. The condition 3) is in fact very strong: it is easy to prove that if it holds in some integral domain the latter is a NICD hence a Dedekind domain.

Theorem-Definition 3.16. Suppose \mathcal{O} is a Dedekind domain. Then the f.i.'s $I \subset k$ form a commutative group under multiplication (notation $\mathcal{F}(\mathcal{O})$) which is freely generated by the prime ideals $\mathfrak{p} \subset \mathcal{O}$. If $I \subset k$ is a f.i then $I = \prod_{\mathfrak{p}} \mathfrak{p}^{v_{\mathfrak{p}}(I)}$ which is a finite product.

$$\forall \mathfrak{p} \quad I\mathcal{O}_{\mathfrak{p}} = (\mathfrak{p}\mathcal{O}_{\mathfrak{p}})^{v_{\mathfrak{p}}(I)}.$$

Proof. The group structure is clear after 3.15.3). Suppose $I \subset \mathcal{O}$ is a nontrivial nonzero ideal. Then $\exists \mathfrak{p}_1 \subset \mathcal{O}$ a maximal ideal such that $I \subset \mathfrak{p}_1$ thus $I\mathfrak{p}_1^{-1} \subset \mathcal{O}$. If $I\mathfrak{p}_1^{-1}$ is nontrivial then there exists another (maybe the same) maximal ideal \mathfrak{p}_2 such that $I\mathfrak{p}_1^{-1} \subset \mathfrak{p}_2$ thus $I\mathfrak{p}_1^{-1}\mathfrak{p}_2^{-1} \subset \mathcal{O}$ and so on. Since \mathcal{O} is Noetherian the chain of ideals $I \subset I\mathfrak{p}_1^{-1} \subset I\mathfrak{p}_1^{-1}\mathfrak{p}_2^{-1} \subset \dots$ stabilizes hence one comes to the trivial ideal in finite number of steps. Therefore $\mathcal{F}(\mathcal{O})$ is generated by the prime ideals \mathfrak{p} . Now choose some \mathfrak{p} and consider the map $\phi_{\mathfrak{p}} : \mathcal{F}(\mathcal{O}) \rightarrow \mathcal{F}(\mathcal{O}_{\mathfrak{p}})$, $I \mapsto I_{\mathfrak{p}} = I\mathcal{O}_{\mathfrak{p}}$. This map is surjective by the Theorem 3.9 and it is a group homomorphism by the construction. If $\mathfrak{q} \neq \mathfrak{p}$ then $\mathfrak{q} \not\subset \mathfrak{p}$ by the first definition of a Dedekind domain hence the $\mathcal{O}_{\mathfrak{p}}$ -ideal $\phi_{\mathfrak{p}}(\mathfrak{q})$ contains an invertible element. This implies $\phi_{\mathfrak{p}}(\mathfrak{q})$ is trivial therefore $\mathfrak{q} \in \ker(\phi_{\mathfrak{p}})$. The ring $\mathcal{O}_{\mathfrak{p}}$ is a d.v.r. hence by the Theorem 3.14 $\mathcal{F}(\mathcal{O}_{\mathfrak{p}})$ is an infinite cyclic group generated by the $\mathcal{O}_{\mathfrak{p}}$ -ideal $\mathfrak{p}\mathcal{O}_{\mathfrak{p}}$. Clearly $\phi_{\mathfrak{p}}$ maps the subgroup of $\mathcal{F}(\mathcal{O})$ generated by \mathfrak{p} isomorphically on $\mathcal{F}(\mathcal{O}_{\mathfrak{p}})$. This ends the proof ■

Remark. Suppose $a \in k^*$. Then $v_{\mathfrak{p}}(a) = v_{\mathfrak{p}}(a\mathcal{O})$ hence $v_{\mathfrak{p}}(a) = 0$ for all but a finite number of ideals \mathfrak{p} .

Now suppose K/k is a finite separable extension of degree n , \mathcal{O}_K the integral closure of \mathcal{O} in K .

Definition 3.17 A finitely generated \mathcal{O} -submodule of K which contains a basis of the k -vector space K is called an \mathcal{O} -lattice in K .

Remark. If $n = 1$ then the concept of \mathcal{O} -lattice coincides with that of f.i.

Notation (cf. 3.9). Suppose N is an \mathcal{O} -submodule in K , $\mathfrak{p} \subset \mathcal{O}$ a prime ideal. Then $N_{\mathfrak{p}} \stackrel{\text{def}}{=} N\mathcal{O}_{\mathfrak{p}}$.

Theorem 3.18. Suppose $N \subset K$ is an \mathcal{O} -lattice, $\mathfrak{p} \subset \mathcal{O}$ a prime ideal.

- 1) If \mathcal{O} is a principal ideal domain then N is a free \mathcal{O} -module of rank n .
- 2) If N is an \mathcal{O} -lattice then $N_{\mathfrak{p}}$ is a $\mathcal{O}_{\mathfrak{p}}$ -lattice.

Proof. 1) By the Theorem 3.7. this holds for \mathcal{O}_K . Consider some basis of \mathcal{O}_K and some set of generators of N . Let a be the product of the denominators of the coordinates of generators in that basis. Then $aN \subset \mathcal{O}_K$ hence aN is a free \mathcal{O} -module of rank $\leq n$ by the Lemma to the same theorem. Since aN contains a basis of K the rank equals n . $N \simeq aN$ as \mathcal{O} -modules ■

2) Clear ■

Remark. In general N (even \mathcal{O}_K itself) needs not to be a free \mathcal{O} -module.

Theorem 3.19. Suppose $N \subset K$ is an arbitrary \mathcal{O} -submodule. Then $N = \bigcap_{\mathfrak{p}} N_{\mathfrak{p}}$.

Proof. \subset clear; \supset Suppose $x \in \bigcap_{\mathfrak{p}} N_{\mathfrak{p}}$. The set $I = \{a \in \mathcal{O} \text{ such that } ax \in N\}$ is an ideal in \mathcal{O} . Since $\forall \mathfrak{p} x \in N_{\mathfrak{p}}$ (i.e $x = \frac{y}{a}$, $y \in N$, $a \notin \mathfrak{p}$) the ideal I contains elements outside arbitrary \mathfrak{p} . This implies I is trivial hence $1 \in I$ ■

For the rest of this chapter \mathcal{O} will be a Dedekind domain. The basic example is $\mathcal{O} = \mathbf{Z}$.

Theorem-Definition 3.20. Index of the lattices. Suppose M and N are two \mathcal{O} -lattices in K . Then the index $(M : N)_{\mathcal{O}}$ is an \mathcal{O} -f.i. in k defined as follows:

1) If both M and N are free of rank n then $(M : N)_{\mathcal{O}} = (\det A)$, A being the matrix of coordinates of the elements of the basis of N with respect to the basis of M .

2) Generally, $(M : N)_{\mathcal{O}} \stackrel{\text{def}}{=} \prod_{\mathfrak{p}} \mathfrak{p}^{v_{\mathfrak{p}}((M_{\mathfrak{p}} : N_{\mathfrak{p}})_{\mathcal{O}_{\mathfrak{p}}})}$.

Proof. Since change of either basis multiplies A with an invertible matrix with entries from \mathcal{O} , the determinant is multiplied with an element of \mathcal{O}^* thus not changing the index as defined in 1). In the general case let $\{x_i\}$ be a basis of the vector space K over k which is contained in M , $\{y_j\}$ same for N . Consider a set of generators of M . Since this is a finite set, for all \mathfrak{p} but a finite number all the coordinates of its elements with respect to

the basis $\{x_i\}$ are in $\mathcal{O}_{\mathfrak{p}}$, thus $\{x_i\}$ being an $\mathcal{O}_{\mathfrak{p}}$ -basis of $M_{\mathfrak{p}}$. The same is true for $\{y_j\}$ and N . This implies for all \mathfrak{p} but a finite number $(M_{\mathfrak{p}} : N_{\mathfrak{p}}) = (\det A)_{\mathfrak{p}}$, A being the matrix of the coordinates of the basis $\{y_j\}$ with respect to the basis $\{x_i\}$. Clearly for all \mathfrak{p} but another (possibly greater) finite number this ideal is trivial hence the product in the definition 2) is finite ■

- Theorem 3.21.** 1) $(M : N)_{\mathcal{O}}(N : T)_{\mathcal{O}} = (M : T)_{\mathcal{O}}$.
 2) $(M : M)_{\mathcal{O}} = \mathcal{O}$ (the trivial f.i.).
 3) Suppose $N \subset M$. Then $(M : N)_{\mathcal{O}} \subset \mathcal{O}$, the equality holding iff $M = N$. If $\mathcal{O} = \mathbf{Z}$ then $(M : N)_{\mathbf{Z}}$ is generated by the usual index $(M : N)$.
 4) If $l : K \rightarrow K$ is an invertible k -linear map then $(l(M) : l(N))_{\mathcal{O}} = (M : N)_{\mathcal{O}}$.

Proof. Immediate consequence of the definition and of the Theorem 3.16 ■

Definition 3.22. Suppose $N \subset K$ is an \mathcal{O} -submodule. Then the dual module $D_{\mathcal{O}}(N) \stackrel{\text{def}}{=} \{y \in K \text{ such that } \forall x \in N \text{ Tr}(xy) \in \mathcal{O}\}$.

Theorem 3.23. Suppose $N, M \subset K$ are \mathcal{O} -lattices. Then

- 1) If $N = \langle \{e_i\} \rangle$ is a free \mathcal{O} -module of rank n than $D_{\mathcal{O}}(N)$ is also free of rank n generated by the dual basis $\{f_j\}$.
- 2) $D_{\mathcal{O}}(N)$ is an \mathcal{O} -lattice.
- 3) $D_{\mathcal{O}}(D_{\mathcal{O}}(N)) = N$.
- 4) $(D_{\mathcal{O}}(N) : D_{\mathcal{O}}(M))_{\mathcal{O}} = (M : N)_{\mathcal{O}}$.
- 5) Suppose $\mathfrak{p} \subset \mathcal{O}$ is a prime ideal. Then $(D_{\mathcal{O}}(N))_{\mathfrak{p}} = D_{\mathcal{O}_{\mathfrak{p}}}(N_{\mathfrak{p}})$.

Proof. We start with the proof of 5). In fact both sides coincide with the set $\{y \in K \text{ such that } \forall x \in N \text{ Tr}(xy) \in \mathcal{O}_{\mathfrak{p}}\}$. It looks natural to use the notation $D_{\mathcal{O}_{\mathfrak{p}}}(N)$ for this set besides N is not a $\mathcal{O}_{\mathfrak{p}}$ -module. Since $N \subset N_{\mathfrak{p}}$, $D_{\mathcal{O}_{\mathfrak{p}}}(N_{\mathfrak{p}}) \subset D_{\mathcal{O}_{\mathfrak{p}}}(N)$. Since Tr is k -bilinear $D_{\mathcal{O}_{\mathfrak{p}}}(N) \subset D_{\mathcal{O}_{\mathfrak{p}}}(N_{\mathfrak{p}})$. For the same reason $(D_{\mathcal{O}}(N))_{\mathfrak{p}} \subset D_{\mathcal{O}_{\mathfrak{p}}}(N)$. Finally, to prove that $D_{\mathcal{O}_{\mathfrak{p}}}(N) \subset (D_{\mathcal{O}}(N))_{\mathfrak{p}}$ one should consider an arbitrary $y \in D_{\mathcal{O}_{\mathfrak{p}}}(N)$ and then multiply it with the product of the denominators of $\text{Tr}(yx_i)$, $\{x_i\}$ being a set of generators of the \mathcal{O} -module N , to obtain the element of $D_{\mathcal{O}}(N)$ ■

1) Hometask ■

2) Let $M = \langle \{e_i\} \rangle$, $\{e_i\}$ being some k -basis of K contained in N . Let a be the product of the denominators of the coordinates of some set of generators of the lattice N with respect to that basis. Then $aN \subset M$ hence $M \subset N \subset a^{-1}M$. This implies $D_{\mathcal{O}}(a^{-1}M) \subset D_{\mathcal{O}}(N) \subset D_{\mathcal{O}}(M)$, therefore $D_{\mathcal{O}}(N)$ is an \mathcal{O} -lattice by 1) and by the

Lemma to Theorem 3.7 ■

3) Clear for a free lattice, for the general case use 5) and the Theorem 3.19 ■

4) Hometask for a free lattice; for the general case use 5) and the Theorem 3.16 ■

Definition-Theorem 3.24. Suppose N is an \mathcal{O} -lattice in K . The \mathcal{O} - f.i. in k $\mathfrak{d}_{\mathcal{O}}(N) \stackrel{\text{def}}{=} (D_{\mathcal{O}}(N) : N)_{\mathcal{O}}$ is called the discriminant of N . The notation $\mathfrak{d}_{K/k}$ is often used for the $\mathfrak{d}_{\mathcal{O}}(\mathcal{O}_K)$. The latter is an ideal in \mathcal{O} .

Proof. Since $\mathcal{O}_K \subset D_{\mathcal{O}}(\mathcal{O}_K)$ the Theorem 3.21.3) ends the proof ■

Theorem 3.25. Suppose $N, M \subset K$ are \mathcal{O} -lattices. Then

1) If $N = \langle \{e_i\} \rangle$ is a free \mathcal{O} -module then $\mathfrak{d}_{\mathcal{O}}(N) = (\det \text{Tr}(e_i e_j))$.

2) $\mathfrak{d}_{\mathcal{O}}(N) = \mathfrak{d}_{\mathcal{O}}(M)(M : N)_{\mathcal{O}}^2$.

3) Suppose $N \subset M$. Then $\mathfrak{d}_{\mathcal{O}}(N) \subset \mathfrak{d}_{\mathcal{O}}(M)$, the equality holding iff $M = N$.

4) $\mathfrak{d}_{\mathcal{O}}(N) = \prod_{\mathfrak{p}} \mathfrak{p}^{\nu_{\mathfrak{p}}(\mathfrak{d}_{\mathcal{O}_{\mathfrak{p}}}(N_{\mathfrak{p}}))}$.

Proof. 1) Hometask ■

2) An easy consequence of the theorems 3.21.1) and 3.23.4) ■

3) This is a consequence of 2) and the Theorem 3.21.3) ■

4) Use the definition of the index and the Theorem 3.23.5) ■

Remark. If N is a free \mathcal{O} -module the formula from 1) defines the element $\Delta_{\mathcal{O}}(N) \in k^*/(\mathcal{O}^*)^2$ generating $\mathfrak{d}_{\mathcal{O}}(N)$. If $\mathcal{O} = \mathbf{Z}$ the notation $\Delta(N)$ (resp. Δ_K for $N = \mathcal{O}_K$) is used. Since $(\mathbf{Z}^*)^2 = \{1\}$ $\Delta(N)$ (resp. Δ_K) is an element of \mathbf{Q} (resp. of \mathbf{Z}).

Definition 3.26. The prime ideal $\mathfrak{P} \subset \mathcal{O}_K$ lies over the prime ideal $\mathfrak{p} \subset \mathcal{O}$ (notation $\mathfrak{P} | \mathfrak{p}$) iff $\mathfrak{P} \cap \mathcal{O} = \mathfrak{p}$.

Theorem 3.27. 1) \mathcal{O}_K is a Dedekind domain.

2) Suppose $\mathfrak{p} \subset \mathcal{O}$ is a prime ideal. Then $(\mathcal{O}_K)_{\mathfrak{p}} \stackrel{\text{def}}{=} \mathcal{O}_K \mathcal{O}_{\mathfrak{p}}$ is the integral closure of $\mathcal{O}_{\mathfrak{p}}$ in K .

3) For any prime ideal $\mathfrak{p} \subset \mathcal{O}$ there exists a prime ideal $\mathfrak{P} \subset \mathcal{O}_K$ (not necessary unique) such that $\mathfrak{P} | \mathfrak{p}$. Conversely, for any nonzero prime ideal $\mathfrak{P} \subset \mathcal{O}_K$ $\mathfrak{P} \cap \mathcal{O} \neq (0)$.

Proof. 1) By the Theorem 3.7 \mathcal{O}_K is a NICD. Suppose $\mathfrak{P} \subset \mathcal{O}_K$ is a nonzero prime ideal. Let $0 \neq x \in \mathfrak{P}$. Then the minimal polynomial $P_{x,k}(T)$ is monic with coefficients in \mathcal{O} . Since $P_x(x) = 0$ its free term is an element of $\mathfrak{p} \stackrel{\text{def}}{=} \mathfrak{P} \cap \mathcal{O}$. Since P_x is irreducible over k , \mathfrak{p} is nonzero. The intersection of the prime ideal with a subring is clearly prime, thus \mathfrak{p} is prime and therefore maximal. This implies $k_{\mathfrak{p}} \stackrel{\text{def}}{=} \mathcal{O}/\mathfrak{p}$ is a field. Consider the quotient ring $\mathcal{O}_K/\mathfrak{p}\mathcal{O}_K$. Since \mathcal{O}_K is a \mathcal{O} -module of finite rank this ring is a $k_{\mathfrak{p}}$ -algebra of finite dimension, $\mathfrak{P}/\mathfrak{p}\mathcal{O}_K$ being a prime ideal in it, thus $(\mathcal{O}_K/\mathfrak{p}\mathcal{O}_K)/(\mathfrak{P}/\mathfrak{p}\mathcal{O}_K)$ is a finite-dimensional $k_{\mathfrak{p}}$ -algebra without zero divisors therefore a field. The identity map $\mathcal{O}_K \rightarrow \mathcal{O}_K$ defines a surjective ring homomorphism $\mathcal{O}_K/\mathfrak{p}\mathcal{O}_K \rightarrow \mathcal{O}_K/\mathfrak{P}$ with the kernel $\mathfrak{P}/\mathfrak{p}\mathcal{O}_K$, hence $(\mathcal{O}_K/\mathfrak{p}\mathcal{O}_K)/(\mathfrak{P}/\mathfrak{p}\mathcal{O}_K) \simeq \mathcal{O}_K/\mathfrak{P}$. This means that $\mathcal{O}_K/\mathfrak{P}$ is a field hence \mathfrak{P} is a maximal ideal ■

2) $x \in (\mathcal{O}_K)_{\mathfrak{p}}$ means that $x = \frac{y}{a}$, $y \in \mathcal{O}_K$, $a \in \mathcal{O}$, $a \notin \mathfrak{p}$. Since $y \in \mathcal{O}_K$, $y^m + \sum_{i=0}^{m-1} a_i y^i = 0$ for some $\{a_i \in \mathcal{O}\}$ therefore $x^m + \sum_{i=0}^{m-1} \frac{a_i}{a^{m-i}} x^i = 0$ hence x is integral over $\mathcal{O}_{\mathfrak{p}}$. Conversely, suppose x is integral over $\mathcal{O}_{\mathfrak{p}}$. Then $x^m + \sum_{i=0}^{m-1} \frac{a_i}{b_i} x^i = 0$ ($\forall i$ $b_i \notin \mathfrak{p}$). It is easy to check

that $x \prod_{i=0}^{m-1} b_i$ is integral over \mathcal{O} ■

3) The second statement has already been proved in 1). Now start with the prime ideal $\mathfrak{p} \subset \mathcal{O}$. Consider the ideal $\mathfrak{p}\mathcal{O}_K \subset \mathcal{O}_K$. Then $\mathfrak{p}\mathcal{O}_K \neq \mathcal{O}_K$ (otherwise $\mathcal{O}_K = \mathfrak{p}^{-1}\mathcal{O}_K \Rightarrow \mathfrak{p}^{-1} \subset \mathcal{O}_K \Rightarrow \mathfrak{p}^{-1} \subset \mathcal{O}$ which contradicts the properties of the Dedekind domain). This implies there exists a maximal ideal $\mathfrak{P} \subset \mathcal{O}_K$ such that $\mathfrak{p}\mathcal{O}_K \subset \mathfrak{P} \Rightarrow \mathfrak{P}$ lies over \mathfrak{p} ■

Theorem-Definition 3.28. $D_{\mathcal{O}}(\mathcal{O}_K)$ is an \mathcal{O}_K -f.i. in K containing \mathcal{O}_K . The different (notation \mathfrak{D} or $\mathfrak{D}_{K/k}$) is an ideal in \mathcal{O}_K defined by the formula $\mathfrak{D} \stackrel{\text{def}}{=} (D_{\mathcal{O}}(\mathcal{O}_K))^{-1}$.

Proof. Use the Theorem 3.23 2) and the definition of the dual lattice ■

Theorem 3.29. Suppose $x \in \mathcal{O}_K$ is a primitive element (i.e. $K = k(x)$), $P_x \in \mathcal{O}[T]$ its minimal polynomial. Then

- 1) $\mathcal{O}[x]$ is an \mathcal{O} -lattice in K .
- 2) $D_{\mathcal{O}}(\mathcal{O}[x]) = (P'_x(x))^{-1}\mathcal{O}[x]$.
- 3) $\mathfrak{d}_{\mathcal{O}}(\mathcal{O}[x]) = (N_{K/k}(P'_x(x))) = (\Delta_{P_x})$.
- 4) $\mathcal{O}_K = \mathcal{O}[x] \Leftrightarrow \mathfrak{D}_{K/k} = (P'_x(x))$.

Proof. 1) Clear since x is integral over \mathcal{O} and primitive ■

2) Suppose $P_x[T] = T^n + \sum_{i=0}^{n-1} a_i T^i$, $a_i \in \mathcal{O}$; $\frac{P_x[T]}{T-x} = T^{n-1} + \sum_{j=0}^{n-2} b_j T^j$, $b_i \in K$. Then

the two \mathcal{O} -lattices $\langle 1, x, \dots, x^{n-1} \rangle$ and $\langle b_0, b_1, \dots, b_{n-2}, 1 \rangle$ coincide. Indeed, the system of equations $\{a_0 = -b_0 x; a_i = b_{i-1} - x b_i \text{ for } 1 \leq i \leq n-2, a_{n-1} = b_{n-2} - x\}$ provides us with a linear expression of the elements b_j via x^i and vice versa with coefficients in \mathcal{O}

(namely, $b_j = \sum_{i=j+1}^{n-1} a_i x^{i-1-j} + x^{n-1-j}$, x^i may be reconstructed from these expressions one by one).

Now it is possible to calculate the dual basis for $\langle b_0, b_1, \dots, b_{n-2}, b_{n-1} = 1 \rangle$. Consider the set of polynomials $\{P_r(T) \in \bar{k}[T], 0 \leq r \leq n-1\}$, $P_r(T) \stackrel{\text{def}}{=} \sum_{\sigma \in \Sigma_{\bar{k}/k}} \left(\frac{P_x(T)}{T - \sigma(x)} \right) \frac{\sigma(x)^r}{P'_x(\sigma(x))} - T^r$. Since P_x is separable it has no common roots with its derivative, hence the definition is correct (neither denominator equals zero). By the construction each P_r has at least n different roots (namely, all $\sigma(x)$) being of the degree $\leq n-1$, therefore $\forall r, 0 \leq r \leq n-1, P_r = 0$. On the other hand the direct calculation leads to $P_r = \sum_{j=0}^{n-1} T r \left(\frac{x^r}{P'_x(x)} b_j \right) T^j - T^r$, hence $T r \left(\frac{x^i}{P'_x(x)} b_j \right) = \delta_{ij}$. This means that the dual lattice to one generated by b^j (hence to one generated by x^i) has the basis $\left\{ \frac{x^i}{P'_x(x)}, 0 \leq i \leq n-1 \right\}$ ■

3) and 4) Now these become direct consequences of the definitions of the norm (resp. of the different) ■

What remains is divided in two parts.

First, we prove that the decomposition of a prime ideal of \mathcal{O} to the product of prime ideals in \mathcal{O}_K , the different and the discriminant may be calculated "locally" in terms of the finite extensions of complete fields of p -adic type.

Second, we study what happens to the prime ideal $\mathfrak{p} \subset \mathcal{O}$ in the finite extension $\mathcal{O}_K/\mathcal{O}$, \mathcal{O} being a complete d.v.r.

Theorem 3.30. Suppose K/k is a finite extension of the fields, $\|\cdot\| : K \rightarrow \mathbf{R}_{\geq 0}$ an absolute value such that its restriction to k makes k a complete metric space. Then the topology of the metric space K coincides with the topology of the coordinate space k^n .

In particular, the absolute value $\|\cdot\|$, if exists, is defined by its restriction to k .

Remark. In the proof we suppose that k is locally compact and $\|\cdot\|_k$ is nontrivial (thus covering the arithmetic case). The statement of the Theorem is still true in the general case, the proof being more technical.

Proof. Choose a basis $\{e_i, 1 \leq i \leq n\}$ of the k -vector space K . By definition, the length of the vector in the coordinate space metric is given by the formula $\|\sum a_i e_i\|_0 \stackrel{\text{def}}{=} \max_i(|a_i|)$. To prove the first statement it suffices to find two real constants $c_1, c_2 > 0$ such that $\forall x \in K \quad \|x\| \leq c_1 \|x\|_0$ and $\forall x \in K \quad \|x\|_0 \leq c_2 \|x\|$. Clearly one may choose $c_1 := \sum_i \|e_i\|$, then the first inequality holds by the triangle inequality for the absolute value function $\|\cdot\|$. In particular, the function $\|x\|$ is continuous in the coordinate space topology. Consider the set $T_1 \stackrel{\text{def}}{=} \{x \in K \text{ such that } \|x\|_0 = 1\}$. Then T_1 is a closed subset of the unit ball $B_1^n \subset k^n$ where B_1 is the unit ball in k . Since k is locally compact there exists some ball in k which is compact. Moving the center to 0 and multiplying with some big $c \in k$ (which exists since $\|\cdot\|_k$ is nontrivial) one gets a compact set containing B_1 . Hence B_1, B_1^n and T_1 are all compact. Therefore the continuous function $\|\cdot\|$ achieves its lower bound on T_1 , namely, $\exists x_1 \in T_1$ such that $\|x_1\| = \inf_{x \in T_1} \|x\|$. Since $0 \notin T_1$, $\|x_1\| > 0$. Now choose $c_2 := (\|x_1\|)^{-1}$, then the second inequality holds. To prove the second statement suppose that $\|\cdot\|_1$ and $\|\cdot\|_2$ are two absolute value functions on K such that their restrictions to k coincide. Then the coordinate space topology on k^n is the same in both cases, hence by the first statement of the Theorem $\|\cdot\|_1$ and $\|\cdot\|_2$ define the same topology on K . By the Theorem 1.9 they are equivalent ($\|\cdot\|_1 = \|\cdot\|_2^t$). Since the restrictions to k coincide by the assumption, the two absolute values are in fact the same ■

Remark. The condition that $(k, \|\cdot\|)$ is a complete metric space is essential.

Recall the agreed notations and introduce some more. \mathcal{O} is a Dedekind domain, k its field of fractions, $\mathfrak{p} \subset \mathcal{O}$ some nonzero prime ideal, $\mathcal{O}_{\mathfrak{p}}$ the corresponding local ring. Let $\widehat{k}_{\mathfrak{p}}$ be the completion of the field k with respect to the absolute value $\|\cdot\|_{\mathfrak{p}}$ (which is defined by the function $v_{\mathfrak{p}}$), $\widehat{\mathcal{O}}_{\mathfrak{p}}$ being the topological closure of $\mathcal{O}_{\mathfrak{p}}$ in $\widehat{k}_{\mathfrak{p}}$. K/k is a finite separable extension of degree n , \mathcal{O}_K the integral closure of \mathcal{O} in K ("ring of integers"). By the Theorem 3.27 \mathcal{O}_K is a Dedekind domain.

Consider the tensor product $K \otimes_k \widehat{k}_{\mathfrak{p}}$. By the Theorem 2.52 there exists a $\widehat{k}_{\mathfrak{p}}$ -algebra isomorphism $K \otimes_k \widehat{k}_{\mathfrak{p}} \simeq \oplus K_i$ where $K_i/\widehat{k}_{\mathfrak{p}}$ are finite separable extensions of degrees n_i

such that $\sum n_i = n$. The collection of isomorphism classes of extensions $K_i/\widehat{k}_{\mathfrak{p}}$ is uniquely defined. If one chooses a k -basis in K and a $\widehat{k}_{\mathfrak{p}}$ -basis in each K_i then both sides become topological coordinate spaces over $\widehat{k}_{\mathfrak{p}}$, the topology not depending on the choice and the isomorphism above becoming a homeomorphism.

In what follows we identify both sides of the isomorphism above with an n -dimensional $\widehat{k}_{\mathfrak{p}}$ -algebra $\mathbf{V}_{\mathfrak{p}}$ endowed with the topology of coordinate space and carrying both structures (those of the tensor product and of the direct sum). The field K will be identified with its canonical image in $\mathbf{V}_{\mathfrak{p}}$ which is a subring ($x \mapsto x \otimes 1$ in the standard construction of the tensor product), π_i will denote canonical projections $\mathbf{V}_{\mathfrak{p}} \rightarrow K_i$.

Theorem 3.31. 1) The three sets below are in the one-to-one correspondence:

- 1) Different prime ideals $\mathfrak{P}_i \subset \mathcal{O}_K$ lying over $\mathfrak{p} \subset \mathcal{O}$,
- 2) Different absolute value functions $||| : K \rightarrow \mathbf{R}_{\geq 0}$ extending the \mathfrak{p} -adic absolute value,
- 3) Components K_i of the direct sum $\mathbf{V}_{\mathfrak{p}} = \oplus K_i$.

Proof. 1) \leftrightarrow 2) By the theorems 3.27 and 3.16 $\mathfrak{p}\mathcal{O}_K = \prod \mathfrak{P}_i^{e_i}$ where $\{\mathfrak{P}_i\}$ is the full set of prime ideals in \mathcal{O}_K lying over \mathfrak{p} , e_i are some positive integers. Suppose $0 \neq a \in \mathcal{O}$. Calculate $v_{\mathfrak{P}_i}(a)$. The ideal $(a) \subset \mathcal{O}$ may be represented in the form $(a) = \prod \mathfrak{q}_j$, \mathfrak{q}_j being some prime ideals in \mathcal{O} . Then $v_{\mathfrak{P}_i}(a) = v_{\mathfrak{P}_i}(a\mathcal{O}_K) = v_{\mathfrak{P}_i}(\prod (\mathfrak{q}_j\mathcal{O}_K))$. If $\mathfrak{q}_j \neq \mathfrak{p}$ then it contains some nonzero element outside \mathfrak{p} thus $\mathfrak{q}_j\mathcal{O}_K$ contains a nonzero element outside \mathfrak{P}_i which implies $v_{\mathfrak{P}_i}(\mathfrak{q}_j\mathcal{O}_K) = 0$. Therefore $v_{\mathfrak{P}_i}(a) = v_{\mathfrak{P}_i}(\mathfrak{p}^{v_{\mathfrak{p}}(a)}\mathcal{O}_K) = e_i v_{\mathfrak{p}}(a)$. Suppose the \mathfrak{p} -adic absolute value on k is given by the formula $|||_p = s^{-v_{\mathfrak{p}}(a)}$, then the function $|||_i \stackrel{\text{def}}{=} s^{-v_{\mathfrak{P}_i}(b)/e_i}$ defines an absolute value on K extending $|||_p$. Clearly $(\mathcal{O}_K)_{\mathfrak{P}_i}$ and $\mathfrak{P}_i(\mathcal{O}_K)_{\mathfrak{P}_i}$ are the corresponding valuation ring and valuation ideal.

Conversely, start with an absolute value function $|||$ on K extending the \mathfrak{p} -adic one on k . Its valuation ring $\mathcal{R} = \{x \in K \text{ such that } |||x|| \leq 1\}$ contains \mathcal{O} and is integrally closed hence $\mathcal{O}_K \subset \mathcal{R}$. Let $\mathfrak{m} \subset \mathcal{R}$ be the maximal ideal. Then $\mathfrak{m} \cap \mathcal{O}_K$ contains $\mathfrak{p}\mathcal{O}_K$ and is prime, hence it coincides with some \mathfrak{P}_i . This implies $\forall x \in \mathcal{O}_K \setminus \mathfrak{P}_i$ $|||x|| = 1$ hence $(\mathcal{O}_K)_{\mathfrak{P}_i} \subset \mathcal{R}$ and $\mathfrak{m} \cap (\mathcal{O}_K)_{\mathfrak{P}_i} = \mathfrak{P}_i(\mathcal{O}_K)_{\mathfrak{P}_i}$. Since $(\mathcal{O}_K)_{\mathfrak{P}_i}$ is a d.v.r the ideal $\mathfrak{P}_i(\mathcal{O}_K)_{\mathfrak{P}_i}$ is principal. Let $\pi \in (\mathcal{O}_K)_{\mathfrak{P}_i}$ be its generator, then $\forall x \in (\mathcal{O}_K)_{\mathfrak{P}_i}$ $|||x|| = |||\pi||^{v_{\mathfrak{P}_i}(x)}$. Since K is the field of fractions of the ring $(\mathcal{O}_K)_{\mathfrak{P}_i}$ the same formula holds for all $x \in K$ which ends the proof ■

2) \leftrightarrow 3) By the first part of the proof at least one extension of the absolute value function $|||_p$ from $\widehat{k}_{\mathfrak{p}}$ to K_i does exist, by the Theorem 3.30 it is unique. The map $K \xrightarrow{\pi_i} K_i$ is a ring homomorphism hence an inclusion (K is a field). Now the absolute value on K may be defined using the absolute value on K_i just constructed.

Conversely, start with an absolute value function $|||$ on K extending the \mathfrak{p} -adic one on k . Consider K as a subspace of the topological space $\mathbf{V}_{\mathfrak{p}}$. By the triangle inequality the function $|||$ is continuous on K (cf. the first part of the proof of the previous theorem). Since k is dense in $\widehat{k}_{\mathfrak{p}}$, $K = K \otimes_k k$ is dense in $\mathbf{V}_{\mathfrak{p}} = K \otimes_k \widehat{k}_{\mathfrak{p}}$. This implies that the function $|||$ may in a unique way be extended by continuity to the $\widehat{k}_{\mathfrak{p}}$ -algebra $\mathbf{V}_{\mathfrak{p}}$ preserving the property $|||xy||| = |||x||| |||y|||$ and enjoying the strict triangle inequality. Consider the canonical inclusion $\widehat{k}_{\mathfrak{p}} \hookrightarrow \mathbf{V}_{\mathfrak{p}}$ (i.e. $x \mapsto 1 \otimes x$ for the tensor product structure or $x \mapsto (x, x, \dots, x)$ for the direct sum structure). By the assumption $|||$ extends the \mathfrak{p} -adic absolute value on k hence by continuity also that on $\widehat{k}_{\mathfrak{p}}$. Let $I_0 = \{x \in \mathbf{V}_{\mathfrak{p}} \text{ such that } |||x||| = 0\}$. By the triangle inequality I_0 is an ideal, by the multiplicativity of the $|||$ it is prime and therefore by the homework 21 maximal. Let $I_i = \ker(\pi_i : \mathbf{V}_{\mathfrak{p}} \rightarrow K_i)$. Since K_i is a field, I_i is maximal. Any nontrivial ideal J of the algebra $\bigoplus K_i$ is contained in some of the I_i (otherwise $\forall i \exists y_i \in J$ such that the i -th coordinate of y_i is invertible, therefore $\sum a_i y_i$, a_i having i -th coordinate 1 others 0, is an invertible element of J). Hence $\{I_i\}$ is the full list of maximal ideals of $\mathbf{V}_{\mathfrak{p}}$. This implies that $\exists i$ such that $I_0 = I_i$. Then by the triangle inequality $|||x|||$, $x \in \mathbf{V}_{\mathfrak{p}}$ depends only on the residue of $x \pmod{I_i}$ therefore $|||x||| = |||\pi_i(x)|||_0$ where $||| \cdot |||_0$ is a function on K_i which defines an absolute value hence coincides with the unique extension of the \mathfrak{p} -adic absolute value from $\widehat{k}_{\mathfrak{p}}$ to K_i ■

Remark. We will use the notation π_i both for the map $\pi_i : \mathbf{V}_{\mathfrak{p}} \rightarrow K_i$ and for its restriction to K .

Theorem 3.32. Choose an algebraic closure $\overline{\widehat{k}_{\mathfrak{p}}}/\widehat{k}_{\mathfrak{p}}$ and identify \overline{k} with the subfield of its elements algebraic over k . Then the natural map $\sigma \mapsto \sigma \circ \pi_i$ defines a one-to-one correspondence $\bigcup \Sigma_{K_i/\widehat{k}_{\mathfrak{p}}}^{\overline{\widehat{k}_{\mathfrak{p}}}/\widehat{k}_{\mathfrak{p}}} \xrightarrow{\sim} \Sigma_{K/k}^{\overline{k}/k}$.

Proof. Consider both structures on $\mathbf{V}_{\mathfrak{p}}$. Then there exists a triple correspondence $\bigcup \Sigma_{K_i/\widehat{k}_{\mathfrak{p}}}^{\overline{\widehat{k}_{\mathfrak{p}}}/\widehat{k}_{\mathfrak{p}}} = \text{Hom}_{\widehat{k}_{\mathfrak{p}}\text{-alg}}(\bigoplus K_i, \overline{\widehat{k}_{\mathfrak{p}}}) = \text{Hom}_{\widehat{k}_{\mathfrak{p}}\text{-alg}}(\mathbf{V}_{\mathfrak{p}}, \overline{\widehat{k}_{\mathfrak{p}}}) = \text{Hom}_{\widehat{k}_{\mathfrak{p}}\text{-alg}}(K \otimes_k \widehat{k}_{\mathfrak{p}}, \overline{\widehat{k}_{\mathfrak{p}}}) = \Sigma_{K/k}^{\overline{k}/k}$. In fact, according to the proof of the previous theorem $\{I_i\}$ is the full list of maximal ideals of $\bigoplus K_i$ whence the first canonical equality. By the universal property of the tensor product $\text{Hom}_{k\text{-alg}}(K \otimes_k \widehat{k}_{\mathfrak{p}}, \overline{\widehat{k}_{\mathfrak{p}}}) = \text{Hom}_{k\text{-alg}}(K, \overline{\widehat{k}_{\mathfrak{p}}}) \times \text{Hom}_{k\text{-alg}}(\widehat{k}_{\mathfrak{p}}, \overline{\widehat{k}_{\mathfrak{p}}})$. The subset of the $\widehat{k}_{\mathfrak{p}}$ -linear homomorphisms in the left side corresponds to the subset of the elements in the right side which have the identity map in the second factor. Since the image of K under any inclusion to $\overline{\widehat{k}_{\mathfrak{p}}}$ is contained in \overline{k} , the first factor of the right side equals $\Sigma_{K/k}^{\overline{k}/k}$ which establishes the last canonical equality ■

Theorem-Definition 3.33. Suppose K/k is normal. Let $G = \text{Gal}(K/k)$. Then

1) G acts transitively on the set of ideals $\mathfrak{P}_i \mid \mathfrak{p}$. All the numbers e_i in the product $\mathfrak{p}\mathcal{O}_K = \prod \mathfrak{P}_i^{e_i}$ are the same.

2) The stationary subgroup of the ideal \mathfrak{P} under the action of G (i.e. $G_{\mathfrak{P}} \stackrel{\text{def}}{=} \{g \in G \text{ such that } g\mathfrak{P} = \mathfrak{P}\}$) is called the decomposition group of the ideal \mathfrak{P} . The subgroups $G_{\mathfrak{P}_i}$ are conjugate.

3) $K_i = \pi_i(K)\widehat{k}_{\mathfrak{p}}$, the extensions $K_i/\widehat{k}_{\mathfrak{p}}$ are all isomorphic and Galois, $\text{Gal}(K_i/\widehat{k}_{\mathfrak{p}}) \simeq G_{\mathfrak{P}_i}$.

Proof. 1) Suppose that for some $\mathfrak{P}_1, \mathfrak{P}_2 \mid \mathfrak{p} \ \forall g \in G \ g(\mathfrak{P}_1) \neq \mathfrak{P}_2$. Choose an element $a \in \mathfrak{P}_1$ such that $(a) + \prod_{i \neq 1} \mathfrak{P}_i = (1)$ (which is possible because $\forall i \ \mathfrak{P}_1$ and \mathfrak{P}_i are maximal and different thus coprime). Then $\forall g \in G \ (g(a) + \prod_{\mathfrak{P} \neq g(\mathfrak{P}_1)} \mathfrak{P} = (1))$. Since $g(\mathfrak{P}_1) \neq \mathfrak{P}_2$ by

the assumption, this means that $\forall g \in G \ g(a) \notin \mathfrak{P}_2$. But $\prod_{g \in G} g(a) = N_{K/k}(a) \in k$. Since

$a \in \mathfrak{P}_1$, $N_{K/k}(a) \in \mathfrak{P}_1 \cap k = \mathfrak{p} \subset \mathfrak{P}_2$, therefore $\exists g$ such that $g(a) \in \mathfrak{P}_2$, this contradiction ending the proof of the first statement. The second one is clear since $g(\mathfrak{p}\mathcal{O}_K) = \mathfrak{p}\mathcal{O}_K$ ■

2) If $g(\mathfrak{P}_1) = \mathfrak{P}_2$ then $gG_{\mathfrak{P}_1}g^{-1} = G_{\mathfrak{P}_2}$, now use 1) ■

3) K is dense in $\mathbf{V}_{\mathfrak{p}}$ hence $K\widehat{k}_{\mathfrak{p}} \subset \mathbf{V}_{\mathfrak{p}}$ is a dense $\widehat{k}_{\mathfrak{p}}$ -vector subspace. Therefore $K\widehat{k}_{\mathfrak{p}} = \mathbf{V}_{\mathfrak{p}}$ thus $\forall i \ \pi_i(K)\widehat{k}_{\mathfrak{p}} = K_i$. Suppose $g(\mathfrak{P}_1) = \mathfrak{P}_2$. Then $\forall a \in K \ v_{\mathfrak{P}_2}(g(a)) = v_{\mathfrak{P}_1}(a)$ hence $\|g(a)\|_{\mathfrak{P}_2} = \|a\|_{\mathfrak{P}_1}$ (the two absolute values agree on k). The action of G on K extends to $\mathbf{V}_{\mathfrak{p}}$ by the $\widehat{k}_{\mathfrak{p}}$ -linearity, therefore it is continuous. This implies the last formula remains valid on $\mathbf{V}_{\mathfrak{p}}$. From the construction in the Theorem 3.31 one knows that $\{x \in \mathbf{V}_{\mathfrak{p}} \text{ such that } \|x\|_{\mathfrak{P}_i} = 0\} = \ker(\pi_i)$, thus $g(\ker(\pi_1)) = \ker(\pi_2)$ which implies $K_1 \simeq K_2$. By the Theorem 2.48 $K_i/\widehat{k}_{\mathfrak{p}}$ is Galois and $\text{Gal}(K_i/\widehat{k}_{\mathfrak{p}}) = \text{Gal}(\pi_i(K)/\pi_i(K) \cap \widehat{k}_{\mathfrak{p}}) = \text{Gal}(K/K \cap \{x \in \mathbf{V}_{\mathfrak{p}} \text{ such that } \pi_i(x) \in \widehat{k}_{\mathfrak{p}}\})$. The last subfield consists of all the elements $a \in K$ which are the limits of Cauchy sequences $\{a_j \in k\}$ with respect to the absolute value $\|\cdot\|_{\mathfrak{P}_i}$. Clearly if $g(\mathfrak{P}_i) = \mathfrak{P}_i$ then $g(a) = a$. Conversely, any element $h \in \text{Gal}(K_i/\widehat{k}_{\mathfrak{p}})$ preserves the absolute value (the latter being unique by the Theorem 3.30) hence $h|_{\pi_i(K)}$ also preserves the absolute value, therefore after transferring to K it still preserves the absolute value $\|\cdot\|_{\mathfrak{P}_i}$. This implies $h(\mathfrak{P}_i) = \mathfrak{P}_i$ ■

Theorem 3.34. Extend the bilinear form $\text{Tr}_{K/k}(ab)$ from K to $\mathbf{V}_{\mathfrak{p}}$ by $\widehat{k}_{\mathfrak{p}}$ -linearity. Use notation $\langle \cdot, \cdot \rangle$ for the resulting form. Then $\langle a, b \rangle = \sum \text{Tr}_{K_i/\widehat{k}_{\mathfrak{p}}}(\pi_i(a)\pi_i(b))$.

Proof. Theorem 3.32 immediately implies $\forall x \in K \ \text{Tr}_{K/k}(x) = \sum \text{Tr}_{K_i/\widehat{k}_{\mathfrak{p}}}(\pi_i(x))$ whence

the statement of the Theorem for $a, b \in \pi(K)$, the general case being a consequence of the \widehat{k}_p -linearity ■

Theorem 3.35. Extend the definitions of the lattice, of the index and of the dual lattice to $\widehat{\mathcal{O}}_p$ -submodules of the \widehat{k}_p -algebra \mathbf{V}_p (for the definition of the dual lattice use the bilinear form \langle , \rangle just defined). Suppose M, N are \mathcal{O} -lattices in K . Then $M\widehat{\mathcal{O}}_p, N\widehat{\mathcal{O}}_p$ are $\widehat{\mathcal{O}}_p$ -lattices in \mathbf{V}_p and

- 1) $(M : N)_{\mathcal{O}\widehat{\mathcal{O}}_p} = (M\widehat{\mathcal{O}}_p : N\widehat{\mathcal{O}}_p)_{\widehat{\mathcal{O}}_p}$.
- 2) $D_{\mathcal{O}}(N)\widehat{\mathcal{O}}_p = D_{\widehat{\mathcal{O}}_p}(N\widehat{\mathcal{O}}_p)$.
- 3) $\mathfrak{d}_{\mathcal{O}}(N)\widehat{\mathcal{O}}_p = \mathfrak{d}_{\widehat{\mathcal{O}}_p}(N\widehat{\mathcal{O}}_p)$.

Proof. Since $\mathcal{O}_p \subset \widehat{\mathcal{O}}_p$ one may suppose that M and N are free modules (just change \mathcal{O} to \mathcal{O}_p). If $\{x_j\}$ is a \mathcal{O} -basis of M then $\{x_j\}$ is a $\widehat{\mathcal{O}}_p$ -basis of $M\widehat{\mathcal{O}}_p$, the same is true for N . Since \langle , \rangle is obtained from the trace bilinear form by the \widehat{k}_p -linearity, also the calculation of the dual basis leads to the same result in whichever space it is performed, whence the Theorem ■

Theorem 3.36. Suppose M, N are $\widehat{\mathcal{O}}_p$ -lattices in $\mathbf{V}_p = \oplus K_i$ such that $M = \oplus \pi_i(M)$ and the same property holds for N . Then

- 1) $(M : N)_{\widehat{\mathcal{O}}_p} = \prod (\pi_i(M) : \pi_i(N))_{\widehat{\mathcal{O}}_p}$.
- 2) $D_{\widehat{\mathcal{O}}_p}(N) = \oplus D_{\widehat{\mathcal{O}}_p}(\pi_i(N))$.
- 3) $\mathfrak{d}_{\widehat{\mathcal{O}}_p}(N) = \prod \mathfrak{d}_{\widehat{\mathcal{O}}_p}(\pi_i(N))$.

Proof. 1) Since $\widehat{\mathcal{O}}_p$ is a principal ideal ring, M and N are free $\widehat{\mathcal{O}}_p$ -modules. By the assumption the transition matrix is a block matrix, hence its determinant equals the product of the determinants of the blocks ■

2) By the Theorem 3.34 the subspaces K_i are pairwise orthogonal, the restriction of the \langle , \rangle to K_i coinciding with the $Tr_{K_i/\widehat{k}_p}(ab)$ -bilinear form ■

3) Now use 1) for $M = D_{\widehat{\mathcal{O}}_p}(N)$ ■

Remark. The statement of the Theorem is not true for general $\widehat{\mathcal{O}}_p$ -lattices.

We are now going to study an important particular case where two theorems above are applicable.

Definition 3.37. Suppose I is an \mathcal{O}_K -f.i. in K . Then its norm $N_{K/k}(I) \stackrel{\text{def}}{=} (\mathcal{O}_K : I)_{\mathcal{O}}$.

Definition-Theorem 3.38. Let \mathcal{O}_i be the valuation ring in K_i (i.e. $\mathcal{O}_i \stackrel{\text{def}}{=} \{x \in K_i \text{ such that } \|x\| \leq 1\}$, $\|\cdot\|$ being the unique absolute value on K_i which extends the \mathfrak{p} -adic absolute value on $\widehat{k}_{\mathfrak{p}}$). Then

- 1) \mathcal{O}_i is the integral closure of $\widehat{\mathcal{O}}_{\mathfrak{p}}$ in K_i .
- 2) $\{\text{the topological closure of } \mathcal{O}_K \mathcal{O}_{\mathfrak{p}} \text{ in } \mathbf{V}_{\mathfrak{p}}\} = \mathcal{O}_K \widehat{\mathcal{O}}_{\mathfrak{p}} = \bigoplus \mathcal{O}_i$.

Proof. 1) By the Theorem 1.3 \mathcal{O}_i is integrally closed therefore contains the integral closure of the subring $\widehat{\mathcal{O}}_{\mathfrak{p}}$. Conversely, if $x \in K_i$ lies outside \mathcal{O}_i then $\|x\| > 1$ hence x cannot be integral over $\widehat{\mathcal{O}}_{\mathfrak{p}}$ by the strict triangle inequality ■

2) Since any element of $\mathcal{O}_K \widehat{\mathcal{O}}_{\mathfrak{p}}$ is integral over $\widehat{\mathcal{O}}_{\mathfrak{p}}$, 1) implies $\mathcal{O}_K \widehat{\mathcal{O}}_{\mathfrak{p}} \subset \bigoplus \mathcal{O}_i$. Since $\mathcal{O}_K \widehat{\mathcal{O}}_{\mathfrak{p}}$ is topologically closed in $\mathbf{V}_{\mathfrak{p}}$, it now suffices to prove that $\mathcal{O}_K \mathcal{O}_{\mathfrak{p}}$ is dense in $\bigoplus \mathcal{O}_i$. We already know (cf. the proof of the Theorem 3.31) that K is dense in $\mathbf{V}_{\mathfrak{p}}$, hence $\bigoplus \mathcal{O}_i$ is a topological closure of $K \cap \bigoplus \mathcal{O}_i$. Suppose $x \in K$ is such that $x \in \bigoplus \mathcal{O}_i$. This implies $\forall \mathfrak{P}_i \mid \mathfrak{p} \quad \|x\|_{\mathfrak{P}_i} \leq 1$ hence the decomposition of the f.i. (x) in primes contains only non-negative powers of ideals $\mathfrak{P}_i \mid \mathfrak{p}$, thus $x \in \mathcal{O}_K \mathcal{O}_{\mathfrak{p}}$. Therefore $K \cap \bigoplus \mathcal{O}_i \subset \mathcal{O}_K \mathcal{O}_{\mathfrak{p}}$ which ends the proof ■

Theorem 3.39. 1) Suppose I is an \mathcal{O}_K -f.i. in K . Then $I \widehat{\mathcal{O}}_{\mathfrak{p}}$ fits the assumption of the Theorem 3.36.

- 2) $N_{K/k}(I) \widehat{\mathcal{O}}_{\mathfrak{p}} = \prod N_{K_i/\widehat{k}_{\mathfrak{p}}}(\pi_i(I) \mathcal{O}_i)$.
- 3) $N_{K/k} : \mathcal{F}(\mathcal{O}_K) \rightarrow \mathcal{F}(\widehat{\mathcal{O}})$ is a group homomorphism .
- 4) $\pi_i(\mathfrak{D}_{K/k}) \mathcal{O}_i = \mathfrak{D}_{K_i/\widehat{k}_{\mathfrak{p}}}$.
- 5) $\mathfrak{d}_{K/k} \widehat{\mathcal{O}}_{\mathfrak{p}} = \prod \mathfrak{d}_{K_i/\widehat{k}_{\mathfrak{p}}}$.
- 6) $N_{K/k}(\mathfrak{D}_{K/k}) = \mathfrak{d}_{K/k}$.

Proof. 1) As in the proof of Theorem 3.25 one may suppose \mathcal{O} is a d.v.r, thus I is a principal f.i. If $I = \mathcal{O}_K$ the statement is covered by the previous theorem. Otherwise $I = a \mathcal{O}_K$ for some $a \in K$. The $\widehat{\mathcal{O}}_{\mathfrak{p}}$ -lattice $\bigoplus \pi_i(I \widehat{\mathcal{O}}_{\mathfrak{p}})$ consists of the vectors $x = \{x_i\}$ such that $\forall i \quad \|x_i\| \leq \|a\|_{\mathfrak{P}_i}$. Then $a^{-1}x$ has integer (i.e. lying in \mathcal{O}_i) coordinates hence $a^{-1}x \in \mathcal{O}_K \widehat{\mathcal{O}}_{\mathfrak{p}}$ by the \mathcal{O}_K -case, thus $x \in I \widehat{\mathcal{O}}_{\mathfrak{p}}$ ■

2) Use Theorems 3.25 and 3.26 for $M = \mathcal{O}_K$, $N = I$ ■

3) If $I = a \mathcal{O}_K$ then $N_{K/k}(I) = N_{K/k}(a) \mathcal{O}$ by the definition, hence $N_{K/k}$ is a homomorphism on the subgroup of principal fractional ideals. For the general case use 2) (all the fractional ideals in the right side are principal) ■

4)-5) Use theorems 3.25 and 3.26 ■

6) $N_{K/k}(\mathfrak{D}_{K/k}) = (\mathcal{O}_K : (D_{\mathcal{O}}(\mathcal{O}_K))^{-1})_{\mathcal{O}} =$ (by 3) above) $(\mathcal{O}_K : D_{\mathcal{O}}(\mathcal{O}_K))_{\mathcal{O}}^{-1} = (D_{\mathcal{O}}(\mathcal{O}_K) : \mathcal{O}_K)_{\mathcal{O}} = \mathfrak{d}_{K/k}$ ■

To finish the Algebraic Number Fields chapter we suggest a method of calculation the \mathcal{O}_K .

Suppose $\mathcal{O} = \mathbf{Z}$ (we will omit the \mathbf{z} indices, $K = K(x)$ where x is a root of the minimal polynomial $P_x = T^n + a_{n-1}T^{n-1} + \dots + a_0$ with all $a_i \in \mathbf{Z}$. Suppose $[K : \mathbf{Q}] = n$ (i.e. x is primitive). We know that $\delta(\mathbf{Z}[x]) = \Delta_{P_x}$ (Theorem 3.29) and $\delta(\mathbf{Z}[x]) = \Delta_K(\mathcal{O}_K : \mathbf{Z}[x])^2$ (Theorem 3.25(2)). Thus the index of \mathcal{O}_K over $\mathbf{Z}[x]$ is restricted from above by the square root of the quadratic part of Δ_{P_x} .

For example, if $P_x = T^2 - d$, d squarefree, then $\Delta_x = 4d$, hence the index equals 1 (provided $\Delta_K = 4d$) or 2 (provided $\Delta_K = d$). Both cases do happen (see homework).

Since the set of lattices Λ with bounded index $(\Lambda : \mathbf{Z}[x])$ is finite and the bases could be explicitly listed it is possible to choose the lattice with all elements of the basis integral over \mathbf{Z} of minimal discriminant. That lattice will be \mathcal{O}_K . The next theorem makes the choice less wide.

Theorem 3.39. Suppose P_x is Eisenstein with respect to a prime p . Then $p \nmid (\mathcal{O}_K : \mathbf{Z}[x])$.

Proof. Suppose the opposite is true. Then there exists $y \in \mathcal{O}_K$ such that $y \notin \mathbf{Z}[x]$ and $py \in \mathbf{Z}[x]$. Suppose $py = b_0 + b_1x + \dots + b_{n-1}x^{n-1}$, all $b_i \in \mathbf{Z}$ and let j be minimal with the property $p \nmid b_j$. Let $z = y - (\frac{b_0}{p} + \dots + \frac{b_{j-1}x^{j-1}}{p}) = \frac{b_j}{p}x^j + \dots + \frac{b_{n-1}}{p}x^{n-1} \in \mathcal{O}_K$. Multiply z with x^{n-j-1} , then $\mathcal{O}_K \ni zx^{n-j-1} = \frac{b_j}{p}x^{n-1} + \frac{x^n}{p}(b_{j+1} + b_{j+2}x + \dots + b_{n-1}x^{n-j-2})$. Since P_x is Eisenstein $p|x^n$ hence $\frac{b_j}{p}x^{n-1} \in \mathcal{O}_K$. Therefore $N_{K/\mathbf{Q}}(\frac{b_j}{p}x^{n-1}) = \pm \frac{b_j^n}{p^n}a_0^{n-1} \in \mathbf{Z}$ which cannot happen as $p \nmid b_j$ by assumption and $p^2 \nmid a_0$ (Eisenstein) ■

Example. Let $P_x = T^3 - 2$. Then $\Delta_{P_x} = -108$. By the previous Theorem $2 \nmid (\mathcal{O}_K : \mathbf{Z}[x])$. Also $P_{x-2} = T^3 + 6T^2 + 12T + 6$ which is 3-Eisenstein, hence $3 \nmid (\mathcal{O}_K : \mathbf{Z}[x])$ (obviously x and $x - 2$ generate the same subring). This means that $\mathcal{O}_K = \mathbf{Z}[x]$.