

Algebraic aspects of quantum integrable spin chains

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Introduction to quantum spin chains

One dimensional (discretized) quantum mechanical models, local Hilbert space is an irreducible module of an associative algebra (e.g. $U(\mathfrak{J})$, where \mathfrak{J} is a Lie algebra). A prototype – the Heisenberg (anti)ferromagnet model of N spins with a nearest neighbour interaction:

$$\text{periodic b.c.: } H = J \sum_{n=1}^N \sigma_n^a \sigma_{n+1}^a, \quad \text{open b.c.: } H = J \sum_{n=1}^{N-1} \sigma_n^a \sigma_{n+1}^a.$$

$$\sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

H acts on $\mathcal{V} = (\mathbb{C}^2)^{\otimes N}$.

$$\sigma_n^a \equiv I^{\otimes n-1} \otimes \sigma^a \otimes I^{\otimes N-n}, \quad I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

The underlying symmetry is the algebra $A = U(su_2)$:

$$[E, F] = H, \quad [H, E] = E, \quad [H, F] = -F.$$

An irreducible finite dimensional representation S has the highest weight vector $v \in S$: $Ev = 0$, $Hv = \Lambda v$, $\Lambda \in \mathbb{N}_0$. We have $S = A.v$.

the trivial representation :	the fundamental representation :
$\Lambda = 0$	$\Lambda = 1, \quad H \rightarrow \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \sigma^3,$
$E, F, H \rightarrow 0$	$E \rightarrow \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad F \rightarrow \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$

Global action of A on \mathcal{V} :

$$\mathcal{E} = \sum_{n=1}^N E_n, \quad \mathcal{F} = \sum_{n=1}^N F_n, \quad \mathcal{H} = \sum_{n=1}^N H_n,$$

$$a_n \equiv \sum_{n=1}^N I^{\otimes n-1} \otimes a \otimes I^{\otimes N-n}$$

Properties of the Heisenberg Hamiltonian:

a) **locality** – $H = \sum_n H_{n,n+1}$;

b) **A – invariance**: $[H_{n,n+1}, \mathcal{E}] = [H_{n,n+1}, \mathcal{F}] = [H_{n,n+1}, \mathcal{H}] = 0$;

c) **integrability**: H belongs to a complete set of mutually commuting Hermitian operators acting on \mathcal{V} .

Origin of the integrability – existence of the R–matrix:

$$\begin{aligned} R(\lambda) &= \begin{pmatrix} 1 + \lambda & 0 & 0 & 0 \\ 0 & 1 & \lambda & 0 \\ 0 & \lambda & 1 & 0 \\ 0 & 0 & 0 & 1 + \lambda \end{pmatrix} \\ &= \left(1 + \frac{1}{2}\lambda\right) I \otimes I + \lambda \sigma^a \otimes \sigma^a \quad \in \text{End}(\mathbb{C}^2 \otimes \mathbb{C}^2) \\ &= I \otimes I + \lambda \mathbb{P} \end{aligned}$$

permutation $\mathbb{P} x \otimes y = y \otimes x, \quad \forall x, y \in \mathbb{C}^2$

Properties of the R-matrix:

a) Yang-Baxter equation in $\text{End} (\mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \mathbb{C}^2)$:

$$R_{12}(\lambda - \mu) R_{23}(\lambda) R_{12}(\mu) = R_{23}(\mu) R_{12}(\lambda) R_{23}(\lambda - \mu)$$

b) regularity: $R(0) = I \otimes I$.

Yang-Baxter \Rightarrow the trace of the monodromy matrix generates a set of mutually commuting "quantum integrals of motion" $\{Q_k\}$:

$$\hat{R}(\lambda) \equiv \mathbb{P} R(\lambda), \quad T_a(\lambda) = \hat{R}_{a,N}(\lambda) \dots \hat{R}_{a,1}(\lambda) \quad \text{End} (\mathbb{C}^2 \otimes (\mathbb{C}^2)^N)$$

$$\text{YB} \Rightarrow R_{ab}(\lambda - \mu) T_a(\lambda) \otimes_{ab} T_b(\mu) = T_b(\mu) \otimes_{ab} T_a(\lambda) R_{ab}(\lambda - \mu)$$

$$\Rightarrow [\text{tr} T(\lambda), \text{tr} T(\mu)] = 0$$

$$\Rightarrow \text{tr} T(\lambda) = \sum_k \lambda^k Q_k, \quad [Q_k, Q_m] = 0$$

Regularity, $R(0) = I \otimes I \Rightarrow$ locality of H :

$$\begin{aligned} H &\sim (\operatorname{tr} T(0))^{-1} \left(\frac{d}{d\lambda} \operatorname{tr} T(\lambda) \right)_{\lambda=0} = \sum_{n=1}^N \left(\frac{d}{d\lambda} R_{n,n+1}(\lambda) \right)_{\lambda=0} \\ &= \frac{1}{2} \sum_{n=1}^N (I \otimes I + \sigma_n^a \sigma_{n+1}^a) = \mathbb{P}_{n,n+1} \end{aligned}$$

A – invariance:

$$H_{n,n+1} = \mathbb{P}_{n,n+1} \quad \Rightarrow \quad [H_{n,n+1}, a_n + a_{n+1}] = 0$$

$\Rightarrow H$ commutes with $\mathcal{E}, \mathcal{F}, \mathcal{H}$.

Integrability \Rightarrow the Heisenberg chain is **exactly solvable**.

The Bethe ansatz: the spectrum of H is given

$$E(\lambda_1, \dots, \lambda_M) = \sum_{k=1}^M \frac{1}{1 - \lambda_k^2}, \quad \left(\frac{\lambda_k + 1}{\lambda_k - 1} \right)^N = \prod_{m \neq k}^M \frac{\lambda_m - \lambda_k + 2}{\lambda_m - \lambda_k - 2}$$

Algebraic Bethe ansatz (exploits existence of a local highest weight vector), Baxter Q -operator (more general),...

$A = U(su_2)$, $\Lambda = 2$: integrable A -invariant nearest neighbour Hamiltonians (\Leftrightarrow there exists a regular $R(\lambda)$):

$$H_{n,n+1} = (\vec{S}_n \cdot \vec{S}_{n+1})^2 + \alpha (\vec{S}_n \cdot \vec{S}_{n+1}),$$

for $\alpha = -1, 0, 1$.

In general, $H_{n,n+1}$ is a polynomial in $(\vec{S}_n \cdot \vec{S}_{n+1})$ of degree Λ .

Further generalizations:

one can search for a regular $R(\lambda) \in \text{End}(S \otimes S)$

- a) for irreducible representations S of a higher weight Λ ;
- b) for a deformed symmetry A_q , e.g. the quantum group case;
- c) for a higher rank Lie algebra A or its quantum deformation A_q .

Relation to 2D statistical models...

Generalization

A quantum spin chain with the local Hamiltonian density

$$H_{n,n+1} = R'_{n,n+1}(0)$$

is defined by a regular solution of the Yang–Baxter equation, $R(\lambda) \in \text{End}(S \otimes S)$, where S is an irreducible highest weight module of an associative **bialgebra** A .

multiplication :

$$m : A \otimes A \rightarrow A$$

associativity :

$$(a b) c = a (b c)$$

comultiplication :

$$\Delta : A \rightarrow A \otimes A$$

coassociativity :

$$(\Delta \otimes id)\Delta(a) = (id \otimes \Delta)\Delta(a)$$

A is a bialgebra if $\Delta(a b) = \Delta(a) \Delta(b) \quad \forall a, b$.

Example: $U(su_2)$ is a bialgebra, $\Delta(a) = a \otimes I + I \otimes a$ for $a = E, F, H$.

This case is cocommutative, $\Delta'(a) \equiv \mathbb{P}\Delta(a)\mathbb{P} = \Delta(a) \quad \forall a$.

A noncocommutative deformation of $U(\mathfrak{su}_2)$ is the "quantum" algebra $U_q(\mathfrak{su}_2)$:

$$[E, F] = \frac{q^H - q^{-H}}{q - q^{-1}}, \quad [H, E] = E, \quad [H, F] = -F.$$

$$\Delta(E) = E \otimes q^{-\frac{1}{2}H} + q^{\frac{1}{2}H} \otimes E,$$

$$\Delta(F) = F \otimes q^{-\frac{1}{2}H} + q^{\frac{1}{2}H} \otimes F,$$

$$\Delta(H) = H \otimes I + I \otimes H.$$

For generic q (e.g. $q > 1$), the comultiplication determines the Clebsch–Gordan decomposition:

$$S(\Lambda) \otimes S(\Lambda) = \bigoplus_{\Lambda'=0}^{\Lambda} S(2\Lambda')$$

Global action of $U_q(\mathfrak{su}_2)$ on $S(\Lambda)^{\otimes N}$:

$$\mathcal{E} = \Delta^{N-1}(E) = \sum_{n=1}^N q^{-\frac{1}{2}H_1} \otimes \dots \otimes q^{-\frac{1}{2}H_{n-1}} \otimes E \otimes q^{\frac{1}{2}H_{n+1}} \otimes \dots \otimes q^{\frac{1}{2}H_N}$$

$U_q(su_2)$ -invariant $R(\lambda)$

For generic q , the center of $U_q(su_2)$ is generated by the Casimir element

$$C = FE + \frac{q q^H + q^{-1} q^{-H}}{(q - q^{-1})^2}$$

$U_q(su_2)$ -invariant $R(\lambda)$:

$$R(\lambda) = R(\lambda, \Delta(C)) = \sum_{\Lambda'=0}^{\Lambda} r_{2\Lambda'}(\lambda) P_{2\Lambda'},$$

here $P_{2\Lambda'}$ is the projector on $S(2\Lambda')$ in the Clebsch–Gordan decomposition.

By construction, $[H_{n,n+1}, \Delta(a)] = 0 \forall a$

+ coassociativity $\Rightarrow H_{n,n+1}$ commutes with $\mathcal{E}, \mathcal{F}, \mathcal{H}$

$\Rightarrow H$ is $U_q(su_2)$ -invariant (if the chain is open, i.e. there is no $H_{N,1}$ term)

Known regular $U_q(su_2)$ -invariant R-matrices.

“Principal series”, $q = 1$ only:

$$R(\lambda) = (1 - \lambda)^{-1} (\mathbb{E} - \lambda \mathbb{P}) \quad [\text{Yang}, 67]$$

$$R(\lambda) = (1 - \lambda)^{-1} \left(\mathbb{E} - \lambda \mathbb{P} + \frac{\beta \lambda}{\lambda - \alpha} P_0 \right) \quad [\text{Zamolodchikovs}, 79]$$

$$\mathbb{P} = \sum_{\Lambda'=0}^{\Lambda} (-1)^{\Lambda-\Lambda'} P_{2\Lambda'}$$

“Principal series”, $q = 1$ and generic q :

$$R(\lambda) = \mathbb{E} + (b^2 + 1) \frac{1 - e^\lambda}{e^\lambda - b^2} P_0 \quad [\text{Baxter}, 82]$$

$$R(\lambda) = \sum_{\Lambda'=0}^{\Lambda} \left(\prod_{k=\Lambda'+1}^{\Lambda} \frac{[k+\lambda]_q}{[k-\lambda]_q} \right) P_{2\Lambda'} \quad \left[\begin{array}{l} q = 1: \text{Kulish, Reshetikhin, Sklyanin}, 81 \\ q \neq 1: \text{Jimbo}, 86 \end{array} \right]$$

$$[k]_q \equiv \frac{q^k - q^{-k}}{q - q^{-1}}$$

Exceptional solutions:

a) $q = 1, \Lambda = 6$:

$$R(\lambda) = P_6 + \frac{1+\lambda}{1-\lambda} P_5 + P_4 + \frac{4+\lambda}{4-\lambda} P_3 + P_2 + \frac{1+\lambda}{1-\lambda} P_1 + \frac{1+\lambda}{1-\lambda} \frac{6+\lambda}{6-\lambda} P_0.$$

b) $q \neq 1, \Lambda = 2$: (Izergin–Korepin, 82):

$$R(\lambda) = P_2 + \frac{[2+\lambda]_q}{[2-\lambda]_q} P_1 + \frac{\{3+\lambda\}_q}{\{3-\lambda\}_q} P_0,$$

$$\{t\}_q \equiv q^t + q^{-t}.$$

Proposition: this list is complete.

AB: St.Petersburg Math. J. 17 (2006), J. Math. Sciences 143 (2007), J. Math. Sciences 143 (2010), in preparation ...

Method: reduction of the Yang–Baxter equation on the subspace spanned by highest weight vectors of weight $(3\Lambda - 2n)$:

$$W^{(n)} = \{ v \in \mathcal{S}(\Lambda)^{\otimes 3} \mid \mathcal{E}_{123} v = 0, \quad \mathcal{H}_{123} v = (3\Lambda - 2n)v \}$$

For $R(\lambda) = \sum_{\Lambda'=0}^{\Lambda} r_{2\Lambda'}(\lambda) P_{2\Lambda'}$, the restriction of the Yang–Baxter equation to $W^{(n)}$ reads:

$$\begin{aligned} & A^{(n)} D^{(n)}(\lambda - \mu) A^{(n)} D^{(n)}(\lambda) A^{(n)} D^{(n)}(\mu) \\ & - D^{(n)}(\mu) A^{(s,n)} D^{(n)}(\lambda) A^{(n)} D^{(n)}(\lambda - \mu) A^{(n)} = 0, \end{aligned}$$

where $D^{(n)}(\lambda) = \text{diag}\{r_{2\Lambda}(\lambda), \dots, r_{2\Lambda-2n}(\lambda)\}$.

$$A_{kk'}^{(n)} = (-1)^{\Lambda-n} \sqrt{[2\Lambda - 2k + 1]_q [2\Lambda - 2k' + 1]_q} \left\{ \begin{matrix} \Lambda & \Lambda & \Lambda - k \\ \Lambda & 3\Lambda - 2n & \Lambda - k' \end{matrix} \right\}_q.$$

$A^{(n)}$ is orthogonal and symmetric:

$$A^{(n)} = (A^{(n)})^t = (A^{(n)})^{-1}.$$

$R(\lambda)$ from Temperley–Lieb algebra

Temperley–Lieb algebra TL_N : an associative algebra with generators T_n , $n = 1, \dots, N$ and relations:

$$T_n T_m = T_m T_n \quad \text{for } |n - m| > 1$$

$$T_n T_{n\pm 1} T_n = T_n$$

$$T_n T_n = (Q + Q^{-1}) T_n \quad Q \in \mathbb{R}$$

"Baxterization":

$$R_{12}(\lambda) = I + Q \frac{1 - e^\lambda}{e^\lambda - Q^2} T_{12}, \quad R_{23}(\lambda) = I + Q \frac{1 - e^\lambda}{e^\lambda - Q^2} T_{23}$$

solve the Yang–Baxter equation for $Q \neq 1$.

The Temperley–Lieb chain:

$$H = \sum_{n=1}^N T_n$$

Spectrum is determined by the minimal polynomial $\mathcal{P}(t)$ such that $\mathcal{P}(H) = 0$.

$$P_1(t) = t(t - [2]_Q)$$

$$P_2(t) = t(t + 1 - [2]_Q)(t - 1 - [2]_Q)$$

$$P_3(t) = t(t - [2]_Q)(t^2 - 2[2]_Q t + Q^2 + Q^{-2})(t^2 - 3[2]_Q t + 2[3]_Q)$$

$$\deg P_N(t) = \binom{N+1}{\lfloor \frac{N+1}{2} \rfloor}$$

Baxter's $R(\lambda)$ is a particular case with $T = [\Lambda + 1]_q P_0$.

$q > 1$, $\Lambda = 1$ is a deformation of the Heisenberg chain called the XXZ spin chain:

$$H_{XXZ} = \sum_n \sigma_n^1 \sigma_{n+1}^2 + \sigma_n^2 \sigma_{n+1}^1 + \frac{q + q^{-1}}{2} \sigma_n^3 \sigma_{n+1}^3 + \frac{q - q^{-1}}{2} (\sigma_n^3 - \sigma_{n+1}^3)$$

Spectrum of H_{XXZ} is found via the algebraic Bethe ansatz (with proper boundary conditions).

Let S be a finite dimensional vector space with a scalar product (\cdot, \cdot) and an orthonormal basis $\{e_k\}$. Consider a vector (of unit length)

$$v = \sum_{k,m} V_{km} e_k \otimes e_m \in S \otimes S$$

The orthogonal projector on v is

$$P = v(v, \cdot) = \sum_{k,m,k',m'} V_{km} \bar{V}_{k'm'} e_k \otimes e_m \otimes e^{k'} \otimes e^{m'},$$

where $\{e^k\}$ is the orthonormal basis of the dual space $S^* \sim S$, i.e. $e^k(e_m) = \delta_{km}$.

Temperley–Lieb relation:

$$P_{12}P_{23}P_{12} = \mu P_{12} \quad \Leftrightarrow \quad V\bar{V} \sim \text{unitary}$$

$U_q(su_2)$: S is an irreducible module of weight Λ .

Basis: weight vectors, i.e. $He_k = (\Lambda + 1 - 2k)e_k$, $k = 1, \dots, \Lambda + 1$.

Consider a weight vector $v \in S(\Lambda') \subset S(\Lambda) \otimes S(\Lambda)$. V is a matrix of its Clebsch–Gordan coefficients.

Note: $\Delta(H)v = 0 \Rightarrow V$ is anti-diagonal matrix $\Rightarrow V\bar{V}$ is diagonal (for $q > 1$, $\bar{V} = V$).

Proposition. The Temperley–Lieb relations holds for the projector on $v = |\Lambda', 0\rangle$ for

a) $\Lambda' = 0$, any Λ ;

b) $\Lambda' = 1$, $\Lambda = 1$;

c) $\Lambda' = 4$, $\Lambda = 3$, only if $q = 1$.

a) yields Temperley–Lieb chain with $Q = q^\Lambda$.

b) yields $Q = q$ and

$$H_{n,n+1} = \sigma_n^1 \sigma_{n+1}^2 + \sigma_n^2 \sigma_{n+1}^1 - \frac{q + q^{-1}}{2} \sigma_n^3 \sigma_{n+1}^3 + \frac{q - q^{-1}}{2} (\sigma_n^3 - \sigma_{n+1}^3)$$

c) yields $Q + Q^{-1} = 4$.

Thank you!

